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1c490 U.S. PTO

A

PATENT

Docket No. 3783-4001

Express Mail Label No. EJ606947655US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))**

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

1c503 U.S. PTO  
09/421108  
10/19/99

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and  
Address(es):

Hans HSU, 9 Wilmot Lane, Riverside CT 06878; and Inte ALI, 9 Wilmot Lane,  
Riverside CT 06878

For: METHOD AND SYSTEM FOR UNIVERSAL GIFT REGISTRY  
Enclosed are:

☒ 50 page(s) of specification, 1 page(s) of Abstract, 31 page(s) of claims

☒ 17 sheets of drawing      ☐ formal      ☒ informal

☒ 6 page(s) of Declaration and Power of Attorney

☐ Unsigned  
☒ Newly Executed  
☐ Copy from prior application

☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ Microfiche Computer Program (Appendix)

☐ \_\_\_\_\_ page(s) of Sequence Listing

☐ computer readable disk containing Sequence Listing  
☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

☐ Claim for Priority

☐ Certified copy of Priority Document(s)

- ☐ English translation documents
- ☐ Information Disclosure Statement
- ☐ Copy of \_\_\_\_ cited references
- ☐ Copy of PTO-1449 filed in parent application serial No. \_\_\_\_\_.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☒ A check in the amount of \$40.00 for recording the Assignment.
- ☐ Assignment papers filed in parent application Serial No. \_\_\_\_\_.
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. \_\_\_\_\_.
- ☐ Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:  
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

## I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

	Number Filed		Number Extra	Rate	Basic Fee
Total Claims	194	-20=	174	x\$18.00	\$3132.00
Independent Claims	35	- 3=	32	x\$78.00	\$2496.00
Multiple Dependent Claims	<div> <div>[ ] yes</div> <div>[X] no</div> <div>Additional Fee = \$260.00</div> <div>Add'l Fee = NONE</div> </div>				

Total: \$ 6388.00

- ☒ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ 3194.00
- ☒ A check in the amount of \$ 3194.00 in payment of the application filing fees is attached.
- ☐ Charge Fee(s) to Deposit Account No. 13-4500. Order No. 3783-4001. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3783-4001. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN &amp; FINNEGAN, L.L.P.



Dated: October 19, 1999

By: Chris L. Holm

Registration No. 39,227

## CORRESPONDENCE ADDRESS:

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FORM: UTL-TRAN.NY  
 Rev. 5/21/98

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)** : Hans HSU & Inte ALI  
**Serial No.** : TBA **Group Art Unit** : TBA  
**Filed** : Herewith **Examiner** : TBA  
**For** : *METHOD AND SYSTEM FOR UNIVERSAL GIFT REGISTRY*

**EXPRESS MAIL CERTIFICATE**

**Box PATENT APPLICATION**  
**ASSISTANT COMMISSIONER OF PATENTS**  
**Washington, D.C. 20231**

Sir:

Express Mail Label No. EJ606947655US

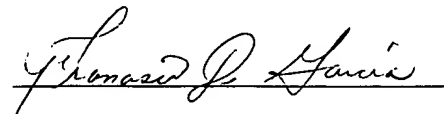
Date of Deposit October 19, 1999

I hereby certify that the following attached paper(s) or fee:

Data Entry Sheet; Utility Application and Fee Transmittal (in duplicate); Specification (50 pages); Abstract (1 page); Claims (31 pages); Figures (17 sheets); Declaration (6 pages); Small Entity Declaration (2 pages); Recordation cover sheet (in duplicate); Assignment (3 pages); Two checks for \$3194.00 & \$40.00; and Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.



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